



NAVJEEVAN
UNLIMITED TRADES. UNLIMITED SERVICE.

Application Kit

DEMAT

Name: - _____

Client Code: - _____

Demat Account No.: - _____

Navjeevan Equity Broking Pvt. Ltd.

B-92, Rajdhani Anaj Mandi, Sikar Road
 Jaipur, Rajasthan - 302013

Additional KYC Form for Opening a Demat Account

For Individuals

(To be filled by the Depository Participant)

Application No		Date	D	D	M	M	Y	Y	Y	Y	DP Internal Reference No	
DP ID	12058300	Client ID										

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details: -

Holder Details

Sole/First Holder's Name																							
PAN													UID										
Exchange ID:	NSE: 12 BSE: 11												UCC										

Second Holder's Name																							
PAN													UID										

Third Holder's Name																							
PAN													UID										

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual-Director
	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual HUF / AOP
			<input type="checkbox"/> Minor
			<input type="checkbox"/> Others (specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI Non-Repatriable
	<input type="checkbox"/> NRI-Depository Receipts		<input type="checkbox"/> NRI Non-Repatriable Promoter
			<input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts	<input type="checkbox"/> Others (specify)

Details of Guardian (in case the account holder is minor)

Guardian's Name												PAN										
Relationship with the applicant																						

I/We instruct the DP to receive each and every credit in my/our account [Automatic Credit] Yes No
 (If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No') Yes No

Account Statement Requirement As per SEBI Regulation Daily Weekly Monthly Fortnightly

I/We request you to send electronic transaction-cum-holding statement at the following Yes No

Email ID											
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I/We would like to share the email ID with the RTA Yes No

I / We would like to receive the Annual Report Physical Electronic Both Physical and Electronic
 (Tick the applicable box. If not marked the default option would be in Physical)

Navjeevan Equity Broking Pvt. Ltd.

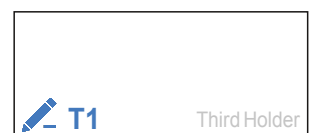
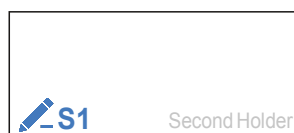
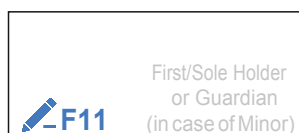
B-92, Rajdhani Anaj Mandi
 Sikar Road, Jaipur, Rajasthan - 302013

Schedule-A Tariff Structure	
For individuals/HUF/corporates	
Details	POA Clients
Option-1	
Stamp charges payable upfront	Rs.150/-
Annual Maintenance Charges (charged quarterly)	
a. Individuals/Non Individual (except Corporate)	Rs.200/- + GST
b. Non Individual - Corporate	Rs.1000/- + GST
Transaction Charges : (Market Trades)	
Buy(Receive) / Sell (Debit)	Nil / Rs.7.5/- + Rs 5.50/- (CDSL Charges)
Transaction Charges : (Off-Market Trades)	
Buy(Receive) / Sell (Debit)	Nil / Rs. 7.5 + Rs. 5.50 (CDSL Charges)
Demat (Per certificate)	Rs. 100/- per certificate
Remat (Per certificate)	Rs. 100/- per certificate + CDSL Charges
Courier charges per Demat/Remat/Demat Rejn./CMR	Rs. 100/-
Pledge Request	0.03% of the value
Unpledge Request	0.03% of the value
Pledge invocation	0.03% of the value
Margin Pledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Unpledge	Rs. 9 + Rs. 5 per request (CDSL Charges)
Margin Repledge	Rs. 2/- (CDSL charges)
Periodic Statement	
By Email / Physical	Free / Rs. 50/- (+Courier charges at actual)
Adhoc / Non Periodic Statement Requests	
a. By Email	Rs.10/- per request
b. Physical	Rs. 50 per request upto 10 pages. Every additional page at Rs. 5 (+ Courier charges at actual)
Delivery instruction	
a. First Delivery Instruction Book	Free (5 Leaves)
b. Every Addl Booklet (20 Leaves)	Rs.100/-
Cheque Bounce Charges	Rs. 350/-
Failed Transactions	Rs. 50 per ISIN
Modification in CML	Rs. 25/- per request
KRA Upload / Download	Rs. 50/-

NOTE :

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Navjeevan Equity Broking Pvt. Ltd. reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges.



Date :

To,

Navjeevan Equity Broking Pvt. Ltd.

B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan - 302013.

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12058300_____ at following email address: _____

I/We fully agree and are aware of following Terms and Conditions mentioned below.


- (a) that I/We will not receive the bill, transaction and holding statements in paper form.
- (b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- (c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secracy of the login name and password is compromised.
- (d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Navjeevan Broking. about change in email address, if any.


In case, the Navjeevan Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Navjeevan Equity Broking Pvt. Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.


I/We hereby request you to send the statements:

Daily Fortnightly Weekly Monthly

Yours faithfully,

 **F12(a)** First/Sole Holder or Guardian (in case of Minor)

 **S2(a)** Second Holder

 **T2(a)** Third Holder


We, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.


Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

 **F12(b)** First/Sole Holder or Guardian (in case of Minor)

 **S2(b)** Second Holder

 **T2(b)** Third Holder

Annexure E
Option form for issue of DIS booklet
Voluntary

 Date :

D	D	M	M	Y	Y	Y	Y
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DP ID	1	2	0	5	8	3	0	0
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Client ID									
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First Holder Name	
Second Holder Name	
Third Holder Name	

To,
Navjeevan Equity Broking Pvt. Ltd.
 B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan - 302013.

Dear Sir,
 I/We hereby state that: [select one of the option given below]

Option 1:


I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA) in favour of Navjeevan Equity Broking Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.


OR

Option 2:

I/We do not require the Delivery Instruction Slip(DIS)for the time being, Since I/We have issued a POA in favour of Navjeevan Equity Broking Pvt. Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1 Option 2

 F15 First/Sole Holder or Guardian (in case of Minor)

 S5 Second Holder
--

 T5 Third Holder

Client E-mail ID
Optional

--

Client Mobile Number


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Declaration

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family




Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs./Ms. _____ who is my _____ [relationship with the client]

 F16 First/Sole Holder or Guardian (in case of Minor)

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]

Nomination Form (Annexure 1A)

Navjeevan Equity Broking Pvt Ltd. B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan – 301012										Form for Nomination (To be filled in by individual applying singly or jointly)																		
Date	D	D	M	M	Y	Y	Y	Y	UCC / DP ID	1	2	0	5	8	3	0	0	Client ID										
I / We wish to make a nomination (As per details given below)																												
I / We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																												
Nomination can be made upto three nominees in the account.										Details of 1st Nominee							Details of 2nd Nominee							Details of 3rd Nominee				
1	Name of the nominee(s)																											
2	Share of each Nominee		Equally (If not equally, please specify percentage)							%							%							%				
Any odd lot after the division shall be transformed to the first nominee mentioned in the form																												
3	Relationship with the Applicant (If Any)																											
4	Address of Nominee(s)																											
City / Place: State & Country:																												
PIN Code																												
5	Mobile / Telephone No. of nominee																											
6	Email ID of number(s)																											
7	Nominee Identification detail – [Please tick any of the following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account																											
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																												
8	Date of Birth {in case of minor nominee(s)}																											
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																											
10	Address of Guardian(s)																											
City / Place State & Country:																												
PIN Code																												
11	Mobile / Telephone no. of Guardian																											
12	Email ID of Guardian																											
13	Relationship of Guardian with nominee																											
14	Guardian Identification detail – [Please tick any of the following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account																											

Name(s) of holder(s)		Signature(s) of holder*
Sole / First Holder (Mr. / Ms.)		 F1 Client Signature
Second Holder (Mr. / Ms.)		 F2 Client Signature
Third Holder (Mr. / Ms.)		 F3 Client Signature

*Signature of witness, along with name and address are required, if the account holder affixes thumb impressions, instead of signature.

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

Declaration Form for opting out of Nomination

To,	Date	D	D	M	M	Y	Y	Y	Y
Navjeevan Equity Broking Pvt. Ltd. B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan – 302012									
UCC/DP ID	1	2	0	5	8	3	0	0	
Client ID (Only for Demat Account)									
Sole / First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
Name and Signature of Holder(s)*									
1. _____ 2. _____ 3. _____									

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

From,

To,
Navjeevan Equity Broking Pvt. Ltd.
B-92, Rajdhani Anaj Mandi
Sikar Road, Jaipur
Rajasthan - 302013

Subject: Declaration for Confirmation of Signature Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Navjeevan Equity Broking Pvt. Ltd. or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Navjeevan Equity Broking Pvt. Ltd. or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Navjeevan Equity Broking Pvt. Ltd. or any of its group entities by me/us, I/We will indemnify Navjeevan Equity Broking Pvt. Ltd. and its directors/employees/agents for all such actions.

Yours faithfully,
Signature:

Name:
