



NAVJEEVAN
UNLIMITED TRADES. UNLIMITED SERVICE.

Application Kit

COMMODITY

Instructions / checklist for filling KYC form

A. Important points

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.
10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim (subject to the continued exemption granted by the Government).

E. List of people authorized to attest the documents.

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of non-individuals, additional documents are to be collected from the non-individual entity, over and above the POI and POA, as mentioned below.

| Type of entity | Documents required |
|--------------------|--|
| Corporate | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of latest share holding pattern, including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover regulations, duly certified by the company secretary/whole time director/MD (to be submitted every year) Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations Photograph, POI, POA & PAN of individual promoters holding control either directly or indirectly Copies of Memorandum and Articles of Association and Certificate of Incorporation Copy of Board Resolution for the investment in commodity market Copy of Board Resolution or declaration (on letterhead) naming the persons authorized to deal in the commodity derivatives on behalf of the company. |
| Partnership firm | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of registration/partnership deed. Authorized signatories list with specimen signatures Photograph and copies of POI, POA & PAN of the partners. |
| Trust | Copy of balance sheets for the last 2 financial years (to be submitted every year) Copy of registration/trust deed. List of trustees certified by managing trustees/CA Photograph and copies of POI, POA & PAN of the trustees. |
| HUF | PAN of HUF Deed of declaration of HUF & list of copartners Bank statement/passbook of HUF Photograph, POI, POA & PAN of Karta |
| Government bodies | Self-certification on letterhead Authorized signatories list with specimen signatures |
| Registered society | Copy of Registration Certificate under Societies Registration Act List of Managing Committee Members Committee resolution for persons authorized to act as authorized signatories with specimen signatures True copy of society rules and bye laws certified by the Chairman/Secretary |

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Proof of income: A recent month salary slip/6-month bank statement/demat holding statement.

I. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

a. Stockbroker has an option of doing 'in-person' verification through web camera at the branch office of the stockbroker/sub-broker's office.

For non-individuals:

- a. Form needs to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

| S. No. | Name of the Document | Brief Significance of the Document | Page No. |
|---|---|---|-----------------|
| MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES | | | |
| 1 | Account Opening Form | A. KYC form - Document captures the basic information about the constituent and an instruction/checklist. | 3 |
| 2 | Tariff Sheet | Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodity exchange(s). | 7 |
| 3 | Rights and Obligations of Members, Authorized Persons and Clients | Document stating the Rights & Obligations of stock broker/trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). | Annexure |
| 4 | Uniform Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the commodity market, including commodity options. | Annexure |
| 5 | Do's and Don'ts for the Investors | Document detailing do's and don'ts for trading on exchange for education of investors | Annexure |
| 6 | Policies and Procedures | Document describing significant policies and procedures of the Broker. | Annexure |
| VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER | | | |
| 7 | Annexure - N | Disclosure information | 8 |
| 8 | Annexure - O | Receipt of KYC, RDD, Rights & Obligations and Do's and Don't's | 8 |
| 9 | Annexure - Q | Letter of authority | 9 |
| 10 | Annexure - P | Additional Rights & Obligations | Annexure |
| 11 | Nomination Details | Collects details of any nomination made for the account | Annexure 1 A |

Membership Details

Name of the Trading Member: Navjeevan Trade & Commodities Pvt. Ltd.

Exchange registration number: MCX - 16690 | NCDEX – 00348

SEBI Registration number: INZ000074331

Registered Office

B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan 302013

Phone No.: 0141-3522700

Correspondence Address: Same as above

Compliance Officer: Mukesh Singh Kushwaha, Phone No.: 0141-3522712 & Email ID:

info@navjeevanbroking.com

CEO: Rachit Dangayach, Phone No.: 0141-3522710 & Email ID: rachit@navjeevanbroking.com

For any grievance please contact Navjeevan at the above address or email complaint@navjeevanbroking.com & Phone no. 0141-3522717.

For any grievance/dispute please contact Navjeevan at the above address, email ID or phone number. In case not satisfied with the response, please contact the concerned exchange at:

1. MCX at grievance@mcxindia.com or Phone No.: 022-67318888
2. NCDEX at askus@ncdex.com or Phone No.: 022-66406084

Know Your Client (KYC) Application Form - for Individuals

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

| | | | |
|--|---------------------------------|--|---------------------------------|
| For office use only (To be filled by the financial institution) | | | |
| Application Type* | <input type="checkbox"/> New | <input type="checkbox"/> Update | KYC Number <input type="text"/> |
| Account Type* | <input type="checkbox"/> Normal | <input type="checkbox"/> Simplified (for low-risk customers) | <input type="checkbox"/> Small |

A. Identity details

| | | |
|--------------------------|----------------------------|----------------------|
| <input type="checkbox"/> | 1. Name (Same as ID Proof) | <input type="text"/> |
| | 1a. Maiden Name (If any) | <input type="text"/> |
| <input type="checkbox"/> | 2. Father's/Spouse's Name | <input type="text"/> |
| | 2a. Mother's Name | <input type="text"/> |

Photograph
Please affix your recent passport size photograph and sign across it

 F1

3a. Gender Male Female Transgender 3b. Marital Status Single Married Other 3c. DOB

4a. Citizenship Indian Other _____ (ISO 3166 Country Code)

4b. Residential Status Resident Individual Non-Resident Indian Person of Indian Origin Foreign National

Tick if applicable Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence Place of birth _____

Tax Identification Number or Equivalent ISO3166 Country Code of Birth

5a. PAN

5b. Unique Identification Number (UID) / AADHAR

6. Proof of Identity Submitted Pan Card Other (Please Specify) _____

B. Contact Details

| | | | |
|-----------------------|----------------------|-----------|----------------------|
| Telephone (Office) | <input type="text"/> | Mobile No | <input type="text"/> |
| Telephone (Residence) | <input type="text"/> | Email ID | <input type="text"/> |

C. Address details Address Type: Residential Business Unspecified

2. Residence/Correspondence Address

| | | | |
|---|------------------|----------------------|----------------------|
| Address | | | |
| <input type="text"/> | | | |
| City/Town | District | Pin Code | <input type="text"/> |
| State/U.T Code | Country/ISO Code | <input type="text"/> | <input type="text"/> |
| Specify the Proof of Address Submitted for Residence/Correspondence Address | | | |

D. DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Place:

Date:

F2 Client Signature

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the Person who has done the IPV: _____

Designation: _____ Employee ID: _____

Name of the Organization: Navjeevan Trade & Commodities Pvt. Ltd.

Date of the IPV: Signature of the Person who has done the IPV

Seal/Stamp of the Intermediary

Originals Verified and Self-Attested Document Copies Received

Date

Signature of the Authorized Signatory

3. Permanent Address

| | | | | | | | | | |
|----------------|--|--|------------------|--|--|--|----------|--|--|
| Address | | | | | | | | | |
| | | | | | | | | | |
| City/Town | | | District | | | | Pin Code | | |
| State/U.T Code | | | Country/ISO Code | | | | | | |

 4. Address in the jurisdiction details where applicant is resident outside India for tax purpose (if applicable)

| | | | | | | | | | |
|----------------|--|--|------------------|--|--|--|----------|--|--|
| Address | | | | | | | | | |
| | | | | | | | | | |
| City/Town | | | District | | | | Pin Code | | |
| State/U.T Code | | | Country/ISO Code | | | | | | |

E. Details of related person (In case of additional related persons, please fill below details)

 Addition of Related Person Deletion of Related Person

 KYC Number of Related Person (if available)

 Related Person Type Guardian of Minor Assignee Authorized Representative

| | | | | | | | | | |
|------|--|--|--|--|--|--|--|--|--|
| Name | | | | | | | | | |
|------|--|--|--|--|--|--|--|--|--|

(If KYC number & name are provided, below details are optional)

Proof Of Identity Of Related Person

| | | | | | | | | | |
|--------------------------|--|--|--------|--|--|--|--|--|--|
| Identity Proof Submitted | | | Number | | | | | | |
|--------------------------|--|--|--------|--|--|--|--|--|--|

 Expiry Date:

| | | | | | | | | | |
|---|--|--|-------------------|--|--|--|--|--|--|
| Others (any document notified by the central govt.) | | | Identification No | | | | | | |
| Simplified Measures Account-Document Type Code | | | Identification No | | | | | | |

F. Bank account details

 Account Type: Savings Current Other

| | | | | | | | | | |
|----------------|--|--|--|--|-----------|--|--|--|--|
| Bank Name | | | | | | | | | |
| Branch Address | | | | | | | | | |
| Account Number | | | | | | | | | |
| MICR Number | | | | | IFSC Code | | | | |

G. Other details

Gross Annual Income Details (please specify): Income Range per annum

 Below Rs 1 Lakh 1-5 Lakh 5-10 Lakh 10-25 Lakh 25 Lakhs-1 Cr > 1 Cr

Or Net-worth as on _____ date _____ (Net worth should not be older than 1 year)

Occupation

 Private Sector Public Sector Government Service Business Professional Agriculturist Retired

 Housewife Student Self Employed Others (please specify) _____

 Please tick, as applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

 Not a Politically Exposed Person Not related to a Politically Exposed Person

 Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical Electronic
H. Past actions

Details of any action/proceedings initiated/pending/ taken by FMC/SEBI/stock exchange(s)/commodity exchange(s)/any other authority against the applicant/constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years _____

I. Depository account(s) details

 Depository Name: NSDL CDSL

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|----------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Depository Participant Name | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Beneficiary Name | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Depository ID | | | | | | | | | | | | | | | | Beneficiary ID | | | | | | | | | | | | | | |

Note : Provide a copy of demat master report or a recent holding statement issued by DP bearing name of the client.

J. Dealings through other members

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple members, provide details of all in a separate sheet containing all the information as mentioned below)

| | | | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|------------------------------|--|--|--|--|--|
| Name of Member/AP | | | | | | | | | | | Exchange(s) | | | | | |
| Website | | | | | | | | | | | Exchange registration number | | | | | |
| Client code | | | | | | | | | | | Exchange registration number | | | | | |
| Registered office address | | | | | | | | | | | | | | | | |
| Name of Member with whom AP is registered | | | | | | | | | | | | | | | | |
| Details of disputes/dues pending from/to such stock broker | | | | | | | | | | | | | | | | |

K. Introducer details (optional)



| | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|----------------------|--|--|--|--|--|
| Name of the Introducer | | | | | | | | | | | | | | | | | | | | | |
| Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client | | | | | | | | | | | | | | | | | | | | | |
| Address of the Introducer | | | | | | | | | | | | | | | | | | | | | |
| Phone Number | | | | | | | | | | | | | | | | Signature (optional) | | | | | |

L. Trading preferences / experience

 No Prior Experience

| | | | | | | | | | | | |
|----------------------|--|--|--|--|--|---|--|--|--|--|--|
| Years in Commodities | | | | | | Years in other Investment Related Field | | | | | |
|----------------------|--|--|--|--|--|---|--|--|--|--|--|

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

| Name of Exchange | Date of consent | Signature |
|-------------------------|-----------------|--|
| MCX Commodities (F&O) | | Client Signature  F3(a) |
| NCDEX Commodities (F&O) | | Client Signature  F3(a) |

In future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

M. Additional details

 (I) Whether you wish to receive communication, including contract note from member in electronic form on your email ID. If yes, specify email ID: _____ Yes No
N. GST details (as applicable)

 GST identification number

 State _____ City _____ PIN Code
O. Classification

 In what capacity do you trade commodities? Farmer Producer Organization Value Chain Participant Other
P. Nomination details (Please tick the appropriate options)
 I / We do wish to nominate (Fill the Annexure 1A)


 I / We do not wish to nominate.

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Dos and Don'ts'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website, if any.

 Date

Place:

 **F4**
Client Signature

For office use only

UCC Code allotted to the Client _____

| | Documents verified with Originals | Client Interviewed By | In-Person Verification done by |
|-------------------------|-----------------------------------|-----------------------|--------------------------------|
| Name of the Employee | | | |
| Employee Code | | | |
| Designation of employee | | | |
| Date | | | |
| Signature | | | |

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorized Signatory

 Date:

Seal/Stamp of the stocbroker

Tariff sheet

To

Navjeevan Trade & Commodities Private Limited

B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan 302013

Charges for Navjeevan trading services

I/ We agree to pay the charges as per following charges structure for our trading account with Navjeevan effective from the time of account opening.

Client ID: _____

| Charge Head | Offline Plan <input type="checkbox"/> | Online Plan <input type="checkbox"/> | Subscription Plan (Rs. 1499 per month) |
|-------------------------|---------------------------------------|---|--|
| MCX Commodity futures | Intraday: 0.03% Delivery: 0.30% | Rs. 15 per executed order or 0.03% whichever is lower | Unlimited Trade |
| MCX Commodity options | Rs. 50 per lot | Rs. 15 per executed order | Unlimited Trade |
| NCDEX Commodity futures | Intraday: 0.03% Delivery: 0.30% | Rs. 15 per executed order or 0.03% whichever is lower | Unlimited Trade |
| NCDEX Commodity options | Rs. 50 per lot | Rs. 15 per executed order | Unlimited Trade |
| Call & Trade Services | No Charges | Rs. 50 per trade | Rs. 100 per trade |

Note: For Subscription Plan, a brokerage of Rs. 0.01 per executed order will be charged.

Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges at actual. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges

| Charge Head | Charges |
|---------------------------|--|
| Turnover Charges | Non-Agri: 0.0036% Agri: 0.00275% |
| Commodity Transaction Tax | 0.01% on sell side (Non-Agri) |
| GST | 18% on (brokerage + transaction charges) |
| SEBI Turnover Fees | INR 20/crore |
| Stamp Duty | State-wise stamp duty as applicable will be levied |

Note: Brokerage will not exceed the rates specified by SEBI and the Exchanges. All Statutory and Regulatory charges will be levied at actuals. Brokerage is also charged on expired, exercised, and assigned Options contracts.

Turnover for Options is calculated as (Strike + Premium) * Lot Size

Detailed explanation of the Schedule of Charges is available online at:

<https://navjeevanbroking.com/charge-list>

 Date


F5
Client Signature

Disclosure Information


Annexure - N

Dear Sir/Madam,

This is to inform you as per Rules, Regulations and Bye-laws of Multi Commodity Exchange of India Ltd. (MCX) that we do client based trading and Pro-account trading and we are not indulged in portfolio management services.

Regards,
 Navjeevan Trade & Commodities Private Limited

I/We acknowledge receipt of information given above by Navjeevan Trade & Commodities Private Limited that they do client base trading and Pro-account trading and they are not indulged in portfolio management services.

 **F6**
Client Signature

To

Annexure - O

Navjeevan Trade & Commodities Pvt. Ltd.


B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan 302013

Sub: Acknowledgement

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

| Sl. No | Document |
|--------|--|
| 1 | Duly Executed Copy of KYC |
| 2 | Rights and Obligations |
| 3 | Risk Disclosure Document (RDD), including RDD for trading options |
| 4 | Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors |
| 5 | Policies and Procedures |
| 6 | Additional Rights & Obligations |

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Navjeevan Trade & Commodities Pvt. Ltd.

 **F7**
Client Signature

Letter of Authority (Voluntary)

Annexure - Q

Navjeevan Trade & Commodities Pvt. Ltd.

B-92, Rajdhani Anaj Mandi, Sikar Road
 Jaipur, Rajasthan 302013

Dear Sir,

I am/We are dealing in commodities with you and in order to facilitate ease of operations, I/we authorize you as under:

1. Authorization for Payment of Depository Participant Charges: I am having depository account with Navjeevan Trade & Commodities Pvt Ltd. I request you to debit my trading Account against the demand raised by the depository division of Navjeevan Trade & Commodities Pvt Ltd. towards my Depository Charges. I also agree to maintain the adequate balance in my trading account/ pay adequate advance fee for the said reason.
2. Authorization to Retain Credit Balance: I / We request you to retain credit balance in any of my / our account and to use the idle funds towards our margin/future obligations at the Exchanges unless I/ we instruct you otherwise.
3. Authorization for Communication of Financial Products: I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which Navjeevan Trade & Commodities Pvt. Ltd., its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.
4. Running Account Authorization: I/We am/are desirous of regularly dealing in commodity market on Commodity Exchanges and request you to maintain a running account for funds and commodities on/our behalf without settling the account on settlement of each transaction on my/our behalf. I/We further request you to retain all amounts payable and commodities receivable by me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts or commodities so retained with you pending periodical settlement. This running account authorization would continue until it is revoked by me.

I/We request you to settle my fund and securities account (Choose one Option)

- Once in a calendar Month
- Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt


All accounts with credit balances of Rs. 10000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos.MCX/INSP/325/2016 & NCDEX/COMPLIANCE-015/2016/238. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

5. I understand that in case my account is in debit balance @0.05% and/or if I have insufficient funds to manage my trading positions, I will be charged interest as delayed payment charges. I confirm having read the rules & regulation pertaining to the levy of such interest under the policies & procedures page on Navjeevan's website.
6. Authorization for not Providing Confirmation Slips: I/We authorize you not to provide me/us Order Confirmation /Modification/Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
7. Authorization for Providing Financial Ledger in Digital Form: I/we hereby authorize you to send me/us the financial ledger in the digital form in the prescribed form at my/our E-mail ID/Address.

Date




| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Place :


F8Client Signature

Nomination Form (Annexure 1A)

| | | | | | | | | | | | | | | | | | | | | | |
|---|--|---|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|--|--|--|
| Navjeevan Trade & Commodities Pvt Ltd. B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan – 301012 | | | | | | | | | | Form for Nomination (To be filled in by individual applying singly or jointly) | | | | | | | | | | | |
| Date | | D | D | M | M | Y | Y | Y | Y | Client ID | | | | | | | | | | | |
| I / We wish to make a nomination (As per details given below) | | | | | | | | | | | | | | | | | | | | | |
| I / We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death. | | | | | | | | | | | | | | | | | | | | | |
| Nomination can be made upto three nominees in the account. | | | | | | | | | | Details of 1st Nominee | | | | Details of 2nd Nominee | | | | Details of 3rd Nominee | | | |
| 1 | Name of the nominee(s) | | | | | | | | | | | | | | | | | | | | |
| 2 | Share of each Nominee | | Equally <small>(If not equally, please specify percentage)</small> | | | | | | | % | | | | % | | | | % | | | |
| Any odd lot after the division shall be transformed to the first nominee mentioned in the form | | | | | | | | | | | | | | | | | | | | | |
| 3 | Relationship with the Applicant (If Any) | | | | | | | | | | | | | | | | | | | | |
| 4 | Address of Nominee(s) | | | | | | | | | | | | | | | | | | | | |
| City / Place: | | | | | | | | | | State & Country: | | | | | | | | | | | |
| PIN Code | | | | | | | | | | | | | | | | | | | | | |
| 5 | Mobile / Telephone No. of nominee | | | | | | | | | | | | | | | | | | | | |
| 6 | Email ID of nominee(s) | | | | | | | | | | | | | | | | | | | | |
| 7 | Nominee Identification detail – [Please tick any of the following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account | | | | | | | | | | | | | | | | | | | | |
| Sr. Nos. 8-14 should be filled only if nominee(s) is a minor: | | | | | | | | | | | | | | | | | | | | | |
| 8 | Date of Birth {in case of minor nominee(s)} | | | | | | | | | | | | | | | | | | | | |
| 9 | Name of Guardian (Mr./Ms.) {in case of minor nominee(s)} | | | | | | | | | | | | | | | | | | | | |
| 10 | Address of Guardian(s) | | | | | | | | | | | | | | | | | | | | |
| City / Place | | | | | | | | | | State & Country | | | | | | | | | | | |
| PIN Code | | | | | | | | | | | | | | | | | | | | | |
| 11 | Mobile / Telephone no. of Guardian | | | | | | | | | | | | | | | | | | | | |
| 12 | Email ID of Guardian | | | | | | | | | | | | | | | | | | | | |
| 13 | Relationship of Guardian with nominee | | | | | | | | | | | | | | | | | | | | |
| 14 | Guardian Identification detail – [Please tick any of the following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account | | | | | | | | | | | | | | | | | | | | |

| Name(s) of holder(s) | | Signature(s) of holder* |
|---------------------------------|--|---|
| Sole / First Holder (Mr. / Ms.) | |  F1 Client Signature |
| Second Holder (Mr. / Ms.) | |  F2 Client Signature |
| Third Holder (Mr. / Ms.) | |  F3 Client Signature |

*Signature of witness, along with name and address are required, if the account holder affixes thumb impressions, instead of signature.

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

Declaration Form for opting out of Nomination

| | | | | | | | | | | | | | | |
|--|----------|----------|----------|----------|----------|-------------|----------|----------|----------|----------|----------|----------|----------|----------|
| To, | | | | | | Date | D | D | M | M | Y | Y | Y | Y |
| Navjeevan Trade & Commodities Pvt. Ltd. B-92, Rajdhani Anaj Mandi, Sikar Road, Jaipur, Rajasthan – 302012 | | | | | | | | | | | | | | |
| UCC/DP ID | 1 | 2 | 0 | 5 | 8 | 3 | 0 | 0 | | | | | | |
| Client ID (Only for Demat Account) | | | | | | | | | | | | | | |
| Client Code / UCC | | | | | | | | | | | | | | |
| Sole / First Holder Name | | | | | | | | | | | | | | |
| Second Holder Name | | | | | | | | | | | | | | |
| Third Holder Name | | | | | | | | | | | | | | |
| I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account. | | | | | | | | | | | | | | |
| Name and Signature of Holder(s)* | | | | | | | | | | | | | | |
| 1. _____ 2. _____ 3. _____ | | | | | | | | | | | | | | |

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

From,

To,
Navjeevan Trade & Commodities Pvt. Ltd.
B-92, Rajdhani Anaj Mandhi,
Sikar Road, Jaipur,
Rajasthan 302013

Subject: Declaration for Confirmation of Signature Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Navjeevan Trade & Commodities Pvt. Ltd. or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Navjeevan Trade & Commodities Pvt. Ltd. or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Navjeevan Trade & Commodities Pvt. Ltd. or any of its group entities by me/us, I/We will indemnify Navjeevan Trade & Commodities Pvt. Ltd. and its directors/employees/agents for all such actions.

Yours faithfully,
Signature:

Name:
